



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Date: July 29, 2004

Cheryl Lillenthal, Debbie L. Lohmeyer,
Julene A. Mrzywka, Theodore R. Herrera
and Debbie Glassberg

Serial No. : 10/698,912 Our Docket No.: MAT 3I8

Filed : October 31, 2003

For : FOOD FORMULATIONS

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313

Sir:

PETITION UNDER 37 C.F.R. § 1.47(a)

Mattel, Inc., asserted assignee of the present invention, respectfully requests that the subject application be accorded status under 37 C.F.R. § 1.47(a) because one of the co-inventors, namely Cheryl Lillenthal, refuses to join in the application.

The attached Declaration of Anton E. Skaugset sets out the pertinent facts supporting the conclusion that Ms. Lillenthal refuses to join in the application. In particular, applicants respectfully submit the Ms. Lillenthal's conduct constitutes an express oral refusal, as contemplated in MPEP § 409.03(d).

In particular the attached Declaration of Anton E. Skaugset shows the following:

- 1) Ms. Lillenthal was presented with a letter enclosing the application papers

comprising the specification as filed, including the claims, an Inventor's Declaration, and as Assignment to Mattel, Inc. This letter was received at Ms. Lillenthal's home at 11:23 am on April 1, 2004.

- 2) Although Ms. Lillenthal acknowledged receipt of the letter, she did not review and sign the application papers, as requested by the above letter.
- 3) In a telephone call on June 21, 2004 to applicants' attorneys, Ms. Lillenthal orally refused to sign the application documents.

Applicants respectfully submit that these and other facts in the enclosed Declaration of Anton E. Skaugset support the conclusion that Ms. Lillenthal's refusal to respond to the letter enclosing the application papers, and her statements in the telephone call of June 21, 2004, amount to an express oral refusal to join in the application, as contemplated in MPEP § 409.03(d).

The last known address of inventor Cheryl Lillenthal, as of June 21, 2004, is 4627 Danza Street, Woodland Hills, California 91364. The last known phone number for Ms. Lillenthal is (818) 884-9256.

The current addresses of joint inventors, Debbie L. Lohmeyer, Julene A. Mrzywka, Theodore R. Herrera and Debbie Glassberg are provided on the Declaration filed herewith.

Mattel, Inc. respectfully notes that the Declaration was signed by all available joint inventors, namely Debbie L. Lohmeyer, Julene A. Mrzywka, Theodore R. Herrera and Debbie Glassberg, with the signature block of the non-signing inventor Cheryl Lillenthal left blank. Mattel, Inc. therefore respectfully requests that the Declaration be treated as

having been signed by all the available joint inventors on behalf of the non-signing joint inventor, as provided in M.P.E.P. § 409.03.

Mattel, Inc. therefore respectfully requests that the application be allowed to proceed without the signature of inventor Cheryl Lillenthal. Such action is necessary to preserve the rights of the assignee, Mattel, Inc. because failure to proceed could permit an intervening third party to establish an earlier filing date.

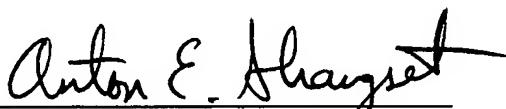
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on July 29, 2004.



Suzanne Werner
Date of Signature: July 29, 2004

Respectfully submitted,
KOLISCH HARTWELL, P.C.



Anton E. Skaugset
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Registration No. 38, 617
of Attorneys for Mattel, Inc.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313

Sir:

DECLARATION

I, Anton E. Skaugset, of the city of Beaverton, Oregon, make oath and say:

1. On information and belief, attached as Exhibit A is a true copy of a letter dated March 31, 2004, that was mailed to co-inventor Cheryl Lillenthal. On information and belief, this letter to Ms. Lillenthal enclosed the following:

- a) A copy of the specification, including the claims, as filed, of U.S. Patent Application Serial No. 10/698,912, which claims priority from U.S. Provisional Patent Application 60/422,936;
- b) An inventor's Declaration; and
- c) An Assignment.

On information and belief, this letter was forwarded to Ms. Lillenthal via FedEx Tracking Number 8358 0387 5111 on March 31, 2004, and requested her to review the specification and to execute the Declaration and Assignment documents. For conciseness of this Declaration, only the cover page of the specification as filed is attached hereto, although it is believed that the entire specification was enclosed with the actual letter sent to Ms. Lillenthal.

2. A copy of a FedEx USA AirBill with Tracking Number 8358 0387 5111, is attached hereto as Exhibit B.

3. A copy of a FedEx Express Shipment Detail by Payor Type, showing that the letter sent with Tracking Number 8358 0387 5111 was delivered to Ms. Cheryl Lillenthal on April 1, 2004, at 11:23 am, is attached hereto as Exhibit C.

4. On April 20, 2004, I telephoned Ms. Lillenthal and asked if she had reviewed and executed the application papers. She indicated that she had not, and did not indicate when she would be able to execute the application papers. Ms. Lillenthal expressed reluctance to execute the Assignment document, as it specified an obligation to assist Assignee Mattel, Inc. in prosecuting the patent application.

5. On May 26, 2004, at my direction Suzanne Werner, a legal assistant at Kolisch Hartwell, P.C., telephoned Ms. Lillenthal and asked her if she intended returning the application papers. It was reported to me by Ms. Werner that Ms. Lillenthal indicated that she was very busy, but would try to read through the paperwork and return the documents.

6. On June 11, 2004, at my direction Ms. Werner left a voicemail message with Ms. Lillenthal requesting her to call Ms. Werner regarding the application papers. It was reported to me that Ms. Werner did not receive a return call from Ms. Lillenthal.

7. On June 18, 2004, at my direction Ms. Werner telephoned Ms. Lillenthal again, and left another message advising her that if she did not contact her regarding the application document by June 22, 2004, the joint inventors would move to exclude her from the prosecution of the patent application.

8. On June 21, 2004, it was reported to me that in a telephone call to Ms. Werner, Ms. Lillenthal indicated that she did not wish to be included in the prosecution of the patent application, did not wish to execute an Assignment to Mattel, Inc. and would not sign the application papers.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on July 29, 2004.

Suzanne Werner
Date of Signature: July 29, 2004

Respectfully submitted,
KOLISCH HARTWELL, P.C.

Anton E. Skaugset

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Kolisch Hartwell

A Professional Corporation

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* OR, CA & ID Bars
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March 31, 2004

VIA FEDEX

Ms. Cheryl Lillenthal
4627 Danza Street
Woodland Hills, California 91364

RE: U.S. Patent Application Serial No. 10/698,912 of Debbie Glassberg,
Cheryl Lillenthal, Debbie L. Lohmeyer, Julene A. Mrzywka and
Theodore R. Herrera for FOOD FORMULATIONS
Our File – MAT 3I8

Dear Cheryl:

As I indicated in our earlier telephone conversation, you were named as a co-inventor in the above patent application filed on behalf of Mattel, Inc. The application was filed on October 31, 2003, and is intended to protect selected aspects of the BARBIE KITCHEN Food Formulas.

At this time, in order to complete the patent application process, we must submit an inventor's Declaration executed by each named inventor. We ask that you please review the enclosed patent application, including the claims, and sign the enclosed Declaration. The Declaration verifies that you have read the application, that you are a joint inventor of the claimed subject matter, and that you have a duty to disclose information material to patentability.

At the same time, we ask that you please also sign the attached Assignment document. The Assignment serves to formally record the transfer of your rights in the patent application to Mattel, Inc. Please have your signature on the Assignment witnessed, and have your witness also sign the Assignment where indicated. Your signature on the Assignment does not need to be notarized, only witnessed.

Ms. Cheryl Lillenthal
March 31, 2004
Our File - MAT 318
Page 2

Once the Declaration and Assignment are executed, please return the executed documents to me in the enclosed envelope.

As the patent application may include trade secret or other confidential information, we also ask that you please return or destroy your copy of the patent application after you have executed the enclosed paperwork.

Your assistance in this matter is greatly appreciated. If you have any questions or concerns at all, please don't hesitate to contact me.

Sincerely,



Anton E. Skaugset
e-mail: anton@khpatent.com

AES:pak
Enclosures

FOOD FORMULATIONS

[0001] This application is based upon and claims benefit under 35 U.S.C. § 119(e) of U.S. provisional patent application Serial No. 60/422,936, filed November 1, 2002.

Technical Field

[0002] The invention relates generally to food product formulations, and more particularly to food products prepared by the addition of water to a formulation.

Background

[0003] Young children particularly enjoy play that models adult activity. Such play behavior may include driving toy vehicles, using toy tools, and cooking activity. Such play behavior must generally be carefully monitored to prevent injury and render the play relatively uncomplicated, particularly for younger children. For example, although power tools used in such play may be designed to resemble a drill, a saw, etc., they are carefully designed to have no sharp edges, and no moving parts that can pinch skin, pull hair, or otherwise injure a child.

[0004] Cooking toys for older children may include actual food, and may even include cooking with heat. Toys for younger children, on the other hand, are typically limited to simulating food preparation or kitchen activities with artificial (and inedible) foods in order to minimize the potential for injury and mess. However, even the youngest child understands that pretending to prepare plastic food leaves something to be desired, when compared to preparing something that may be eaten. It would be desirable to have formulations that permit even young children to prepare edible foodstuffs, quickly and easily, and that require minimal equipment and cooking skills.

FedEx
Tracking
Number

8358 0387 5111

215

Sender's Copy

Please print and press hard.
3/31/04Sender's FedEx
Account Number

0972-1147-7

Sender's Name Anton Skaugset, Ph.D.

Phone (503) 224-6655

Company KOLISCH HARTWELL DICKINSON

Address 520 SW YAMHILL ST STE 200

Dept./Floor/Suite/Room

City PORTLAND

State OR

ZIP 97204

2 Your Internal Billing Reference

MAT 318

OPTIONAL CFA

First 2 characters will appear on invoice.

3 To

Recipient's Name Ms. Cheryl Lillenthal

Phone ()

Company

Address 4627 Danza Street

To "HOLD" at FedEx location, print FedEx address.

We cannot deliver to P.O. boxes or P.O. ZIP codes.

Address

City Woodland Hills

State CA

ZIP 91364

Dept./Floor/Suite/Room

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By using this Airbill you agree to the service conditions on the back of this Airbill and in our current Service Guide, including terms that limit our liability.

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4a Express Package Service

 FedEx Priority Overnight
Next business morning FedEx Standard Overnight
Next business afternoonPackages up to 150 lbs.
Delivery commitment may be later in some areas. FedEx First Overnight
Earliest next business morning delivery to select locations FedEx 2Day
Second business day FedEx Express Saver
Third business day

FedEx Envelope rates not available. Minimum charge One-pound rates

 FedEx 1Day Freight*
Next business day FedEx 2Day Freight
Second business dayPackages over 150 lbs.
Delivery commitment may be later in some areas. FedEx 3Day Freight
Third business day

* Call for Confirmation:

5 Packaging

 FedEx Envelope* FedEx Pak*
Includes FedEx Smart Pak, FedEx Large Pak, and FedEx Sturdy Pak Other

6 Special Handling

 SATURDAY DeliveryAvailable ONLY for
FedEx Priority Overnight and
FedEx 2Day to select ZIP codes HOLD Weekday
at FedEx LocationNOT Available for
FedEx Priority Overnight and
FedEx 2Day to select locations HOLD Saturday
at FedEx LocationAvailable ONLY for
FedEx Priority Overnight and
FedEx 2Day to select locations

Does this shipment contain dangerous goods?

One box must be checked.

 No YesAs per attached
Shipper's Declaration YesShipper's Declaration
not required Dry Ice

Dry Ics. 3 UN 1845 _____ kg

Dangerous Goods (including Dry Ice) cannot be shipped in FedEx packaging.

 Cargo Aircraft Only

7 Payment Bill to:

Enter your account number, due date, or condition below.
 Sender Recipient Third Party Credit Card Cash/CheckFedEx Acct. No.
Credit Card No.Exp.
Date

Total Packages Total Weight Total Declared Value*

1 \$ 100.00

*Our liability is limited to \$100 unless you declare a higher value. See back for details.

447

8 Release Signature

Sign to authorize delivery without obtaining signature.

By signing you authorize us to deliver this shipment without obtaining a signature
and agree to indemnify and hold us harmless from any resulting claims.

SFN • Rev. Date 10/01 • Part #157610S • ©1994-2001 FedEx • PRINTED IN U.S.A.



Invoice
Date:
Account Number:
Page:

FedEx Express Shipment Detail By Payor Type (Original)

Dropped off: Mar 31, 2004

Payor: Shipper

Reference: VSA 605 ✓

- Fuel Surcharge - FedEx has applied a fuel surcharge of 6.50% to this shipment.
- Distance Based Pricing, Zone 7
- FedEx has audited this shipment for correct packages, weight, and service. Any changes made are reflected in the invoice amount.

InternetShip

Tracking ID	791808452862	Sender	
Service Type	FedEx Priority Overnight	ANITA R. TABAYOYON	
Package Type	FedEx Pak	KOLISCH HARTWELL PC	
Zone	7	520 SW YAMHILL ST STE 200	
Packages	1	PORLAND OR 97204-1324 US	
Weight	3.0 lbs, 1.4 kgs		
Delivered	Apr 01, 2004 09:11	Transportation Charge	34.25
Svc Area	A1	Discount	-4.45
Signed by	T.WATERS	Declared Value Charge	0.00
Dec. Value	USD 100.00	Fuel Surcharge	1.94
FedEx Use	543420350/01596/_	Total Charge	USD \$ 31.74

Recipient

DAVID TANK, ESQ.
DAVIS, BROWN, KOEHN, SHORS & R
2500 FINANCIAL CENTER
DES MOINES IA 50309-3993 US

ok ✓

Dropped off: Mar 31, 2004

Payor: Shipper

Reference: MAT 318 ✓

- Fuel Surcharge - FedEx has applied a fuel surcharge of 6.50% to this shipment.
- Distance Based Pricing, Zone 5

Tracking ID 835803875111

Service Type	FedEx Priority Overnight	Sender	
Package Type	FedEx Envelope	ANTON SKAUGAET PH D	
Zone	5	KOLISCH HARTWELL PC	
Packages	1	520 SW YAMHILL ST STE 200	
Weight	N/A	PORLAND OR 97204-1324 US	
Delivered	Apr 01, 2004 11:23	Transportation Charge	16.75
Svc Area	A2	Discount	-3.35
Signed by	L.SCOTT	Declared Value Charge	0.00
Dec. Value	USD 100.00	Fuel Surcharge	0.87
FedEx Use	091184900/00219/_	Total Charge	USD \$ 14.27

Recipient

MS CHERYL LILLENTHAL
4627 DANZA ST
WOODLAND HILLS CA 91364 US

ok ✓

Dropped off: Apr 02, 2004

Payor: Shipper

Reference: OIE 602 ✓

- Fuel Surcharge - FedEx has applied a fuel surcharge of 6.50% to this shipment.
- Distance Based Pricing, Zone 4
- 1st attempt Apr 05, 2004 at 10:10 AM.
- A future delivery was requested.

Tracking ID 835803875122

Service Type	FedEx Priority Overnight	Sender	
Package Type	FedEx Envelope	JOHN M MCCORMACK	
Zone	4	KOLISCH HARTWELL PC	
Packages	1	520 SW YAMHILL ST STE 200	
Weight	N/A	PORLAND OR 97204-1324 US	
Delivered	Apr 05, 2004 11:42	Transportation Charge	15.95
Svc Area	AA	Discount	-3.19
Signed by	B.WALTON	Declared Value Charge	0.00
Dec. Value	USD 25.00	Fuel Surcharge	0.83
FedEx Use	093144700/00208/_	Total Charge	USD \$ 13.59

Recipient

CLERK
U S DIST COURT FOR THE NORTHERN
280 S FIRST STREET ROOM 2112
SAN JOSE CA 95113 US

ok ✓